



UNIVERSITY OF CENTRAL FLORIDA

**Board of Trustees
Audit and Compliance Committee Meeting
November 30, 2017
President's Board Room**

MINUTES

CALL TO ORDER

Trustee Beverly Seay, chair of the Audit and Compliance Committee, called the meeting to order at 9:00 a.m. Committee members Ken Bradley, William Self, David Walsh, and Bill Yeargin attended by teleconference call. Chairman Marcos Marchena and Trustee John Lord attended by teleconference call.

MINUTES APPROVAL

The minutes from the October 11, 2017, meeting were approved unanimously.

NEW BUSINESS

University Audit Report (INFO-1)

Robert Taft, Chief Audit Executive, presented the University Audit Report. The report highlighted completed, current, and future audits. Taft provided an outline of the Audit Methodology revisions and a walkthrough of the objective based approach using Academic Advising as an example.

Conflict of Interest and Commitment Initiatives (INFO-2)

Rhonda L. Bishop, Chief Compliance and Ethics Officer, provided an overview of the annual Conflict of Interest and Commitment Initiatives Report. This report includes the office's efforts and the university's compliance with federal and state disclosure laws.

2017-18 Work Plan Status of All Activities (INFO-3)

Bishop gave an update of the 2017-18 Work Plan Status of All Activities. Highlights include the launching of the on-line Employee Code of Conduct training and the office's management of the assessment process for the President's Division.

2016-17 Compliance and Ethics Program Annual Report

Bishop provided an overview of the annual report of the comprehensive compliance program. The 2016-17 Compliance and Ethics Program Annual Report is newly designed to improve communication of the program's efforts and requirements under Board of Governors Regulation 4.003.

University Compliance, Ethics, and Risk Program Update

Bishop provided an update on the Compliance, Ethics, and Risk Program and discussed the development of a Youth Protection Program.

Overview of Title IX (INFO-5)

Nancy Myers, Director, Office of Institutional Equity and Dawn Welkie Assistant Director, Title IX Coordinator, Office of Institutional Equity gave an overview of the university's Title IX program and recent changes to improve compliance.

Chair Seay adjourned the Audit and Compliance Committee meeting at 3:45 p.m.

Respectfully submitted: _____
Robert Taft
Chief Audit Executive
Date

Respectfully submitted: _____
Rhonda L. Bishop
Chief Compliance and Ethics Officer
Date